



Thomas Grant & Company Ltd

Commission Rate & Charges

DEALING CHARGES – *Please note that we are unable to sell certificated American, Canadian and Irish Shares*

Minimum commission (unless otherwise agreed)	£20.00
Consideration up to £7,500	1.25%
balance above £7,500	0.5%
Contract levy chargeable on all transactions	£6.00
Paper transaction charge where applicable	£15.00
International Settling Transaction Charge	£20.00

MANAGEMENT FEES (VAT applicable)

Valuations are provided twice yearly to 5th April and 30th September (or other such dates as may be determined by us). These will be sent or made available to you within six weeks of the reporting date. You may request additional statements at any time, fees for this additional service are detailed in 'other charges'. If any details are incorrect or if you do not receive your valuation you should contact us immediately.

Nominee Accounts

Half yearly safe custody fee – per stock (minimum of £25.00 and to a maximum of £350.00)	£6.00
Safe custody fee of £1 per stock per month charged on closure (minimum fee of £20.00 applies)	

ISA Accounts

Half yearly fee – (minimum of £25.00 and to a maximum of £350.00)	0.25%
Pro-rata fee charged on closure – (minimum fee of £20.00 applies)	
Capital withdrawal fee	£10.00

International Settling Securities – Nominee & ISA (VAT exempt)

Half yearly fee on all International settling securities	0.05%
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CREST Sponsored Member Accounts

Valuation Fee – per valuation (frequency as agreed)	£25.00
Charged at closure	£25.00

Following fees charged monthly by CREST and applied to your account with us.

Private Participant	£10.00
Corporate Participant	£20.00
Member Account Charge (per Member Account)	£1.00

Asset Maintenance Fees on Equities are charged at 0.03 basis points per annum

Asset Maintenance Fees on Debt Instruments are charged at 0.10 basis points per annum

A Fee per line of stock is charged on a sliding scale based on £2.00 a line of stock

International Custody Charges are charged between 1.50 – 5.50 basis points per annum depending on the country

International service standing charge levied by Crest on all stocks which use the International service. This charge is applicable for the 12 month period from 1st July to 30th June and will be applied in August. The charge will be calculated by Crest based on the average value of your international holdings at the start of the billing year.

OTHER CHARGES – Supplementary Charges (VAT applicable)

Overdue statements	£10.00
Verification of holding	
Tax Schedule	£15.00
Supply of annual report and accounts per report	
Arranging voting and/or attendance at shareholders' meetings	
New DRIP or SCRIP elections	
Gifting of shares (plus charge for certificate where applicable)	
Registration of shares certificate	
Duplicate contract note over 1 month old	
Returned cheques	
Registrar rejection of stock deposit	
Administration for re-registration of individual UK stock	
Administration Letter	
Late payment fee (plus interest at 15% above base rate)	£20.00
Additional or duplicate valuations or statements	
Urgent payment requests processed before 3.00pm	
Administration work per hour (minimum of £30.00 then charged up per quarter hour)	£60.00
Telephone calls to registrar regarding Corporate Actions are charged at administration rate per hour, plus cost of telephone call where applicable	

Foreign Currency Exchange

Foreign currency received for your account in relation to your overseas transactions will be exchanged at prevailing market rates at the end of each calendar month unless otherwise instructed by you. Any ad hoc currency exchanges will be charged at 0.5% of the sterling amount, subject to a maximum charge of £250.00.

EXTERNAL CHARGES (VAT applicable where indicated)

UK stamp duty where applicable	0.5%
Irish stamp duty	1.0%
Transfer stamp duty if applicable	£10.00
Panel of Takeover and Mergers (PTM) levy on all UK equity & Fixed Interest deals over £10,000	£1.00
W-8BEN lodgement – covers 3 years (valid from the date signed until the last day of the third succeeding calendar year). Member account required – charged at £1.00 per month	£100.00 + VAT Plus member fee

Any other third party charges where applicable

Overseas transfers may be subject to additional bank charges

[Please see our Probate Pack for a full list of our Probate Services and charges](#)