



Thomas Grant & Company Ltd

Commission Rate & Charges

DEALING CHARGES – *Please note that we are unable to sell certificated American, Canadian and Irish Shares*

Minimum commission (unless otherwise agreed)	£22.50
Consideration up to £7,500	1.5%
Balance above £7,500	0.5%
Contract levy chargeable on all transactions	£6.00
Certificated transaction charge where applicable	£20.00
International Settling Transaction Charge	£20.00

SAFE CUSTODY & ISA MANAGEMENT FEES (VAT applicable)

Valuations are provided twice yearly to 5th April and 30th September (or other such dates as determined by us). These will be sent or made available to you within six weeks of the reporting date. You may request additional statements at any time, fees for this additional service are detailed in 'other charges'. If any details are incorrect or if you do not receive your valuation, you should contact us immediately.

Nominee Accounts

Half yearly safe custody fee – per stock (minimum of £25.00 and to a maximum of £350.00, minimum fee applies to cash only balances) £6.00

Minimum fee of £25.00 charged on closure

ISA Accounts

Half yearly management fee charged on stock value – (minimum of £25.00 and to a maximum of £350.00) 0.25%

Pro-rata fee charged on closure – (minimum fee of £25.00 applies)

Capital withdrawal fee £10.00

International Settling Securities – Nominee & ISA (VAT exempt)

Half yearly fee on all International settling securities 0.05%

OTHER CHARGES – Supplementary Charges (VAT applicable)

Overdue statements £10.00

Verification of holdings – per stock (minimum of £20.00 applies)

Supply of annual report and accounts per report £25.00

Arranging voting and/or attendance at shareholders' meetings

New DRIP or SCRIP elections

Gifting of shares (plus charge for certificate where applicable)

Registration of share certificate

Duplicate contract notes over 1 month old

Returned cheques

Registrar rejection of stock deposit

Administration for re-registration of individual UK stock

Administration Letter

Late payment fee (plus interest at 15% above base rate)

Additional or duplicate valuations or statements

Urgent payment requests processed before 3.00pm

Ad hoc Administration work per hour £80.00

– Minimum of £40.00 applies then £20.00 per fifteen minutes thereafter

Telephone calls to registrar regarding Corporate Actions are charged at administration rate per hour, plus cost of telephone call where applicable

Foreign Currency Exchange

Foreign currency received for your account in relation to your overseas transactions will be exchanged at prevailing market rates within one week of the end of each calendar month unless otherwise instructed by you. Any ad hoc currency exchanges will be charged at 0.5% of the sterling amount.

EXTERNAL CHARGES (VAT applicable where indicated)

UK stamp duty where applicable	0.5%
Irish stamp duty	1.0%
Transfer stamp duty if applicable	£10.00
Panel of Takeover and Mergers (PTM) levy on all UK equity & Fixed Interest deals over £10,000	£1.00
W-8BEN lodgement – covers 3 years (valid from the date signed until the last day of the third succeeding calendar year). Member account required – charged at £1.00 per month	£100.00 + VAT Plus member fee

Any other third-party charges where applicable and subject to any changes

Overseas transfers may be subject to additional bank charges

Our current forms, Terms of Business and Commission Rate Card are available at www.thomasgrant.co.uk

Please see our Probate Pack for a full list of our Probate Services and charges