



# Thomas Grant & Company Ltd

## Stocks & Shares ISA Application Form

Thomas Grant Account Reference

For office use only

If you wish Thomas Grant & Company Limited to act on your behalf, please complete this form as fully as possible and sign the declaration on the reverse. Failure to complete all sections may result in a delay to your account opening. All information given will be treated in confidence and is subject to the Data Protection Act 2018.

I apply to subscribe for a Thomas Grant & Company Limited Stocks & Shares ISA, for the current tax year 2026/27 and each subsequent year until further notice, subject to the Terms and Conditions set out in the Supplementary Terms of Business for ISA Clients.

### Personal Details

Title	<input type="text"/>		
Forename(s)	<input type="text"/>	Surname	<input type="text"/>
Address	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>	Contact No.	<input type="text"/>
Email	<input type="text"/>		

MiFID II Regulations mean that we are required to submit a Date of Birth and National Identifier for all trades.

- For a UK national this is your National Insurance Number.
- For all other nationalities please email [info@thomasgrant.co.uk](mailto:info@thomasgrant.co.uk) stating your name and nationality for further advice.

Without this information, we will not be able to carry out financial transactions on your behalf.

Date of Birth	<input type="text" value="DD/MM/YYYY"/>	National Insurance No.	<input type="text"/>
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PROOF OF IDENTITY – To satisfy Money Laundering Regulations we are required to confirm your identity and address. For details of documents required please refer to the information provided with the account opening pack. Thomas Grant & Company Limited may obtain verification from an electronic reference agency.

### Employment Details – *if retired please provide details of your last employment*

Employers name	<input type="text"/>		
Occupation	<input type="text"/>		
Address	<input type="text"/>		<input type="text"/>
	<input type="text"/>		Postcode
	<input type="text"/>		<input type="text"/>

### For official use only

ID received for name	<input type="checkbox"/>	<input type="text"/>
ID received for address	<input type="checkbox"/>	<input type="text"/>
AML check	P / R / F	<input type="text"/>

### COMPLIANCE APPROVED

Signature	<input type="text"/>
Date	<input type="text"/>

**Investment Details**

Amount of Subscription £

**Maximum of £20,000 for 2026/27**

Source of income

Please write the full name of the Investments you would like us to purchase on your behalf below

1.

£

2.

£

3.

£

4.

£

**Total Invested** £**Income Instruction & Bank Account Details**Do you wish to receive an Income Payment half yearly? YES  NO  (If yes please fill in the bank details below)

Name of Bank

Name of Account Holder

Sort Code

Account Number

Roll Number or Building Society Ref

For verification purposes we will require a copy of a bank statement in respect of the nominated bank details given.

**Signature & Declaration**

This is our standard ISA agreement upon which we intend to rely. For your own benefit and protection, you should read our Terms of Business, Order Execution policy and the Supplementary Terms of Business for ISA Clients carefully before signing this application form. If you do not understand any point, please ask for further information.

- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit of £20,000 in total to a Stocks and Shares ISA, Cash ISA and an innovative finance ISA in the same tax year.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same year that I subscribe to this Stocks and Shares ISA.
- all subscriptions made, and to be made, belong to me.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with, a person who performs such duties. I will inform Thomas Grant & Company Limited if I cease to be so resident and ordinarily resident or to perform such duties.
- the information given in the application is correct and confirm that any alterations will be notified to Thomas Grant & Company Limited immediately.
- I agree to the ISA Terms & Conditions.

I authorise Thomas Grant & Company Limited directly or through its appointed nominee to hold my Cash Subscriptions, ISA Investments, Interest, Dividends and any other rights or proceeds in respect of those investments and any other cash with an approved bank, and to make on my behalf any claims to relief from tax in respect of plan investments. I also authorise Thomas Grant & Company Limited as Plan Manager, on my written request to transfer or pay to me, as the case may be, investments, interest, dividends, rights or other proceeds in respect of any such investments or cash.

Signature

Date